Notes on The Specification Model – A Standard for Modular Specification (08-131r3)

Introduction

A netCDF file is self-describing. In particular, it contains the definition of its own content, in terms of multi-dimensional arrays (termed "variables") indexed by limited and unlimited dimensions (also declared in the file).

In the basic flavor of the format, a variable defined on more than one unlimited dimension is illegal. Hence, the OGC Network Common Data Form (NetCDF) Core Encoding Standard version 1.0 (OGC 10-090r3) includes:

**Requirement 7** [http://www.opengis.net/spec/netcdf/1.0/req/core/record-dimension](http://www.opengis.net/spec/netcdf/1.0/req/core/record-dimension):
At most one dimension (the record dimension) shall have unlimited length

And the related test case (A.1.7):

Open the dataset and check that at most one dimension (the record dimension) has unlimited length.

This requirement is relaxed by some flavors of the format (in fact, there may be multiple unlimited dimensions in a dataset; it suffices that every variable is defined on at most one).

However, as the above test case is in the core class, the current semantics of requirements extension implies that it must be observed by all extensions. In other words, the above requirement implies that some flavors of netCDF cannot be standardized (in OGC).

Similar issues arose in the context of WCS 2.0 specification.¹

To mitigate this problem, 08-131r3 includes Req 25, which forbids requirements like the one above:

```plaintext
Req 25 - A specification conformant to this standard shall never restrict in any manner future, logically-valid extensions of its standardization targets
```

Unfortunately, restrictive requirements are very common, e.g. in:

- **Application schemas**
  - “Contents restriction is expected to be frequently used to restrict contents, in order to increase interoperability and reduce ambiguity when greater flexibility is not needed for applications” (OWS Common, §11.6.6)
  - NOTE: in GeoJSON, a type is distinguished by the value of the “type” key and there 9 valid ones (FeatureCollection, Feature, Point, LineString, Polygon,

¹ See for example: P. Baumann, “Core & Extension Model: Lessons Learned While Drafting WCS 2.0”, 72nd OGC Technical Committee Meeting, Frascati, Italy, 10 March 2010. See also L. Bigagli, “Core and Extensions PubSub SWG lessons learned”, 96th OGC Technical Committee Meeting, Nottingham, UK, 14-17 September 2015.
MultiPoint, MultiLineString, MultiPolygon, and GeometryCollection). This is perfectly in line with OWS Common, although prohibited by Req 25.

- **Profiles, enumerations**, anything with an upper bound, etc.
- **UML specialization, cardinality**, etc.

Noticeably, almost all requirements of 08-131r3 are restrictions. Req 25 itself is a restriction: it forbids restrictions.

Given that 08-131r3 is “a standard for writing OGC standards” (ib., frontispice) and that:

- 08-131r3 is “considered one of its own standardization targets and thus a subject of its own requirements” (§6.1);
- Standardization targets for 08131r3 = OGC standards;
- Any extension of a target type is an instance of the core target;

It follows that, if 08-131r3 is coherent, Req 25 can be restated as:

*Req 25 – 08-131r3 shall never restrict in any manner future, logically-valid OGC standards.*

This is clearly inconsistent.

**Suggested amendments to 08-131r3**

In general, the rationale for Req 25 involves informal and subjective notions (e.g., wisdom, goodness) and the intended scope is very general (any future, logically-valid extension, above and beyond the current design requirements).

The crux of the issue seems related to the semantics of requirements class extension, in relation to the semantics of target type extension. The former is a dependency relationship (extensions can only add requirements), whereas standardization target types “inherit from one another in the same way that UML classes do” (§4.24).

Possible amendments:

- Requirements class extension should be clarified
  - see generalization/specialization in UML;
  - see the extension/restriction mechanism of the XML Schema Language;
- Restrictive constraints should be allowed and inheritable just like any other
  - The OOPL notion of “final” (not-extensible) classes may be introduced;
- Req 25 should be relaxed/clarified: may be made into a recommendation, or the second part of the section may be rephrased to allow negative requirements and exclusions. Another option is to restrict the scope of the extension to well identified “extension points” (see below).

More in details, the following page edits are proposed.
P. 7, §4.18 – the definition of “Requirements” should explicitly include the notion of negative requirements (i.e. constraints, closure statements, restrictions, restrictive requirements, etc.) This is in line with ISO 19105 – Conformance and Testing:

– “[...] conformance requirements may be stated

  • A) positively: they state what is required to be done;

  • B) negatively: they state what is required not to be done” (ib., §6.3)

P. 20, §6.5.4 – the final NOTE exemplifying “GML for Simple Features” as a possible candidate GML core is confusing, since any “Simple Feature” profile is likely to restrict the allowed property types. E.g., 06-049r1 currently states: “Spatial properties are limited to being of type: point, linearly interpolated curve, planar surface, or aggregates thereof” (ib., §2.1). The example should be removed or clarified.

P. 20, §6.5.5 – the wording of the second part of the section (trying to formalize how a standard is supposed to feature evolvable requirements) forbids restrictions and exclusions (e.g.: “a specification may require a standardization target to accept GML as a feature specification language, but cannot require a standardization target to not accept an alternative, such as KML, or GeoJSON”). It should be expanded or clarified, as elaborated above.

Other editorials and typos
P. 10, item 10 – punctuation;

P. 11, paragraph 4, row 3 – punctuation;

P. 12, note at the top – the reference to §4.11 is probably misplaced (should be after “B extends A”), since §4.11 defines “extension” and not “profile”; the sentence “A is a profile of B” seems not coherent with the definition of “profile” in §4.16;

P. 14, §6.2 – the least 2 rows are repeated two paragraphs above;

P. 20, §6.5.5 – punctuation of the last paragraph, row 3 (‘;’).

Extended solution
We may allow the definition of “extension points” (e.g. functions returning appropriate assessment values to be used in test cases) and change the semantics of requirement class extension allowing a sub-class to override the parents’ requirements, limitedly to their defined extension points.

The above test case on NetCDF Core may be rephrased like:

– Verify that every variable is defined on at most <getUnlimitedDims()> unlimited dimension. Fail otherwise.

  Extension points:

  getUnlimitedDims() = 1

Sub-classes may redefine getUnlimitedDims() as suitable.
This mechanism for test case overriding could be easily implemented in any Object-Oriented language, or in CTL, extending its grammar and resorting to XSLT transformations (Aspect-Oriented extensions may be investigated).

The same mechanisms could be extended to allow for the definition of abstract extension points and support the generalized dynamic composition of ETS’s, enabling the assessment of transversal capabilities in arbitrary combinations of conformance classes.